



**Arizona Department of Real Estate (ADRE)  
Licensing Division**

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JANICE K. BREWER  
GOVERNOR

JUDY LOWE  
COMMISSIONER

**DISCIPLINARY ACTIONS DISCLOSURE (LI-214/244)**

**ARS §§ 32-2123 and 32-2130 & AAC R4-28-301 and AAC R4-28-303**

The Disciplinary Actions Disclosure is required by ADRE to determine qualifications and suitability of the licensee to hold an Arizona Real Estate License, Employing Broker License, Entity License, Cemetery License or a Membership Camping License, Educational School License, Educational Instructor License or Public Report License. Check one:

- Original Application. Disciplinary Actions Disclosure for immediate past 10 years
- Renewal Application. Disciplinary Actions Disclosure for immediate past renewal period.

*Failure to answer these questions truthfully may result in disciplinary actions including, but not limited to, suspension, revocation and/or civil penalties.*

*ARS §§ 32-2153(B) and 32-2160.01*

Has the Applicant / Is the Applicant:			
1	Had a professional or occupational license or registration of <b>ANY</b> kind denied, suspended, restricted, or revoked?		<input type="checkbox"/> YES <input type="checkbox"/> NO
2	Had an administrative order and/or <b>ANY</b> other disciplinary action taken against <b>ANY</b> license issued to them by any local, state, or federal regulatory agency?		<input type="checkbox"/> YES <input type="checkbox"/> NO
3	Had an action issued by the ADRE which resulted in an Accelerated Settlement Agreement (ASA)?		<input type="checkbox"/> YES <input type="checkbox"/> NO
4	Voluntarily surrendered <b>ANY</b> professional or occupational license during the course of an investigation or disciplinary proceeding?		<input type="checkbox"/> YES <input type="checkbox"/> NO
5	Entered into <b>ANY</b> consent decree, and/or had an injunction (either temporary or permanent), a suspension, an order and/or a judgment issued which prohibited or restricted them from engaging in <b>ANY</b> profession or occupation?		<input type="checkbox"/> YES <input type="checkbox"/> NO
6	Had any judgment and/or order against them by <b>ANY</b> court involving fraud, dishonesty, misrepresentation, unfair trade practice, or moral turpitude?		<input type="checkbox"/> YES <input type="checkbox"/> NO
7	Had any judgment and/or order entered against them by <b>ANY</b> court arising out of the conduct of any business in real estate, cemetery property, timeshare intervals, or membership campgrounds?		<input type="checkbox"/> YES <input type="checkbox"/> NO
8	Had <b>ANY</b> <u>SUBDIVISION PUBLIC REPORT</u> or <u>REGISTRATION TO SELL</u> real estate, timeshares, cemetery lots, or campground memberships denied and/or suspended?		<input type="checkbox"/> YES <input type="checkbox"/> NO
9	Had <b>ANY</b> payment made from the real estate recovery fund and/or <b>ANY</b> similar fund that was charged against them and/or against a business for which they were the qualifying party?		<input type="checkbox"/> YES <input type="checkbox"/> NO
10	Currently involved in <b>ANY</b> pending disciplinary hearings and/or other administrative actions, against <b>ANY</b> professional and/or occupational licenses held in Arizona and/or in any other state?		<input type="checkbox"/> YES <input type="checkbox"/> NO
11	Held, and/or currently holds ownership and/or controlling interest of 10% or more in any business, corporation, partnership, or limited liability company that would cause them to answer "yes" to any of the above questions (Questions 1-10)?		<input type="checkbox"/> YES <input type="checkbox"/> NO
12	Been convicted and/or entered into a plea agreement and/or a plea of NOLO CONTENDERE (no contest) to <b>ANY</b> misdemeanor and/or felony in Arizona and/or any other state, whether or not the plea or verdict was set aside, judgment or sentencing was deferred, conviction was dismissed or expunged, or has been pardoned?		<input type="checkbox"/> YES <input type="checkbox"/> NO
13	Been convicted or entered into a plea agreement or a plea of NOLO CONTENDERE (no contest) to <b>ANY</b> misdemeanor in Arizona or any other state, whether or not the plea or verdict was set aside, judgment or sentencing was deferred, the conviction was dismissed or expunged, or has been pardoned? <b><u>CONVICTIONS – DUI AND/OR RECKLESS DRIVING, EITHER MISDEMEANOR OR FELONY, MUST BE DISCLOSED</u></b> <b><u>Minor traffic citations do not constitute a misdemeanor or felony offense.</u></b>		<input type="checkbox"/> YES <input type="checkbox"/> NO
14	<b>CURRENTLY</b> in a deferred period of judgment or sentencing, in a diversion program, and/or convicted of a Class 6 Undesignated Offense, which has not yet been designated as a felony or misdemeanor as of the date of this application?		<input type="checkbox"/> YES <input type="checkbox"/> NO
15	<b>CURRENTLY</b> incarcerated, paroled, and/or on probation because of <b>ANY</b> conviction?		<input type="checkbox"/> YES <input type="checkbox"/> NO

**If the applicant answered YES to any questions 1 through 14, applicant must also answer the following:**

1	Has the applicant previously disclosed the incident to ADRE in writing?		<input type="checkbox"/> YES <input type="checkbox"/> NO
2	Approximate date of disclosure	Type of disclosure	

If the incident and/or action has **not** previously been disclosed to the ADRE in writing, refer to the DISCLOSURE DOCUMENT CHECKLIST FORM (LI-400) for a list of additional required documentation that must be submitted to ADRE before a renewal application is submitted for processing. **A fingerprint clearance card is required if there are any "YES" answers, other than a "YES" on question number 3.**

**ATTESTATION**

I attest, under penalty of perjury, under the laws of the State of Arizona, that the foregoing answers and statements given in this application are true and correct. I certify that at the time of ADRE license issuance, I will be 18 years of age or older. I certify that while licensed, I will not violate any provisions of Real Estate Laws nor abuse the privileges of ADRE issued licenses.

Print Name	Signature of Applicant	Date
ADRE License#	Email Address	